

# Tight Block Designs

Ziqing Xiang

University of Georgia

Oct. 27, 2014

## Definition 1

A  $F$ -design on  $X$  is a  $Y \subseteq X$  such that

$$\int_X f d\mu_X = \int_Y f d\mu_Y,$$

for all  $f \in F$ .

$X, Y$ : Both Hausdorff topological space and strictly positive probability measure space.

$Y$ : A sub-topological space of  $X$ .

$F$ : A linear space of measurable (on both  $X$  and  $Y$ ) functions.

# Block design

A  $t$ - $(v, k, \lambda)$  design,  $t$ -design in short, consists of a set of

- ▶ **points**: a  $v$ -set  $V$ ,
- ▶ **blocks**: a non-empty subset  $B$  of  $\binom{V}{k}$ ,

such that for every  $T \in \binom{V}{t}$ ,

$$\#\{B \in \mathcal{B} : T \subseteq B\} = \lambda > 0.$$

# Example

## 0-design

Every subset  $\mathcal{B}$  of  $\binom{V}{k}$  is a  $0$ - $(v, k, |\mathcal{B}|)$  design.

# Example

Trivial design

Let  $\mathcal{B} = \binom{V}{k}$ .

# Example

## Trivial design

Let  $\mathcal{B} = \binom{V}{k}$ .

The set  $\mathcal{B}$  is a  $t$ - $(v, k, \binom{v-t}{k-t})$  design for all  $t \leq k$ .

# Example

## Partition

A **partition**  $\mathcal{B}$  of  $V$  is a set of subsets  $\{V_i\}$  whose disjoint union is  $V$ , namely,

$$\bigsqcup V_i = V.$$

# Example

## Partition

A **partition**  $\mathcal{B}$  of  $V$  is a set of subsets  $\{V_i\}$  whose disjoint union is  $V$ , namely,

$$\bigsqcup V_i = V.$$

The set  $\mathcal{B}$  is a **1-( $v, 1, 1$ )** design if and only if it is a partition.



# Example

## Finite projective plane

A **projective plane** consists of a set of **points**  $V$ , a set of **lines**  $\mathcal{B}$  and an **incidence** relation between points and lines having:

- ▶ For every two different point, there exists a unique line incident with them;
- ▶ For every two different lines, there exists a unique point incident with them;
- ▶ There exists four points such that every line is incident with at most two of them.

# Example

## Finite projective plane

A **projective plane** consists of a set of **points**  $V$ , a set of **lines**  $\mathcal{B}$  and an **incidence** relation between points and lines having:

- ▶ For every two different point, there exists a unique line incident with them;
- ▶ For every two different lines, there exists a unique point incident with them;
- ▶ There exists four points such that every line is incident with at most two of them.

The projective planes over finite fields are finite projective planes.

# Example

## Finite projective plane

A **projective plane** consists of a set of **points**  $V$ , a set of **lines**  $\mathcal{B}$  and an **incidence** relation between points and lines having:

- ▶ For every two different point, there exists a unique line incident with them;
- ▶ For every two different lines, there exists a unique point incident with them;
- ▶ There exists four points such that every line is incident with at most two of them.

The projective planes over finite fields are finite projective planes.

The pair  $(V, \mathcal{B})$  is a finite projective plane if and only if it is a  $2-(n^2 + n + 1, n + 1, 1)$  design.

# Example

## Hadamard matrix

A (real) Hadamard matrix is a  $\pm 1$  matrix  $H_n$  with

$$H_n H_n^T = nI_n.$$

# Example

## Hadamard matrix

A (real) Hadamard matrix is a  $\pm 1$  matrix  $H_n$  with

$$H_n H_n^T = nI_n.$$

A Hadamard matrix of order  $4n + 4$  is equivalent to a  $2-(4n + 3, 2n + 1, n)$  up to isomorphism.

# Existence of designs

## Theorem 2 (R. Wilson)

*For sufficiently large  $v$ , if some necessary conditions are satisfied, then there exists  $2$ - $(v, k, \lambda)$  design.*

# Existence of designs

## Theorem 2 (R. Wilson)

*For sufficiently large  $v$ , if some necessary conditions are satisfied, then there exists  $2$ - $(v, k, \lambda)$  design.*

## Theorem 3 (P. Keevash)

*For sufficiently large  $v$ , if some necessary conditions are satisfied, then there exists  $t$ - $(v, k, \lambda)$  design.*

## Lower bound for the size of designs

Theorem 4 (R. Fisher)

$|\mathcal{B}| \geq v$  for 2-designs when  $v \geq k + 1$ .



## Lower bound for the size of designs

Theorem 4 (R. Fisher)

$|\mathcal{B}| \geq v$  for 2-designs when  $v \geq k + 1$ .

Theorem 5 (A. Petrenjuk.)

$|\mathcal{B}| \geq \binom{v}{2}$  for 4-designs when  $v \geq k + 2$ .

## Lower bound for the size of designs

Theorem 4 (R. Fisher)

$|\mathcal{B}| \geq v$  for 2-designs when  $v \geq k + 1$ .

Theorem 5 (A. Petrenjuk.)

$|\mathcal{B}| \geq \binom{v}{2}$  for 4-designs when  $v \geq k + 2$ .

Theorem 6 (D. Ray-Chaudhuri and R. Wilson.)

$|\mathcal{B}| \geq \binom{v}{e}$  for  $2e$ -designs when  $v \geq k + e$ .

## Sketch of the proof

- ▶ Construct the incidence matrix between  $\binom{V}{e}$  vs  $\mathcal{B}$ .

$$M(E, B) = \begin{cases} 1, & E \subseteq B, \\ 0, & E \not\subseteq B. \end{cases}$$

- ▶ Show that the column space of the incidence is the whole space.
- ▶  $\binom{V}{e} \leq |\mathcal{B}|$ .

## Tight $2e$ -design

A **tight  $2e$ -design** is a  $2e$ -design  $\mathcal{B}$  with  $|\mathcal{B}| = \binom{v}{e}$  and  $v \geq k + e$ .

A tight  $2e$ -design is **non-trivial** if  $v > k + e$ .

## Classification of non-trivial tight $2e$ -designs

- ▶  $e = 1$ . Many. Classification is far from being complete.
- ▶  $e = 2$ . H.Enomoto, N. Ito, R. Noda, A. Bremner. Only two, Witt 4-(23, 7, 1) and Witt 4-(23, 16, 52).
- ▶  $e = 3$ . C. Peterson. None.
- ▶  $e \geq 5$ . E. Bannai. Finitely many for each  $e$ .
- ▶  $e = 4$ . E. Bannai. Finitely many.
- ▶  $5 \leq e \leq 9$ . P. Dukes, J. Short-Gershman. None.
- ▶  $e = 4$ . Z. Xiang. None.
- ▶  $e \geq 10$ . ?

## Intersection numbers

The integers in the set

$$\{|B \cap B'| : \{B, B'\} \in \binom{\mathcal{B}}{2}\}$$

are called **intersection numbers**.

## Intersection numbers

The integers in the set

$$\{|B \cap B'| : \{B, B'\} \in \binom{\mathcal{B}}{2}\}$$

are called **intersection numbers**.

**Theorem 7** ((P. Delsarte;) D. Ray-Chaudhuri and R. Wilson)

For a tight  $2e$ - $(v, k, \lambda)$  design, the **zeros** of the polynomial  $\Phi_e \in \mathbb{Q}[x]$  are **intersection numbers** of the design.

$$\Phi_e(x) := \sum_{i=0}^e (-1)^{e-i} \frac{\binom{v-e}{i} \binom{k-i}{e-i} \binom{k-i-1}{e-i}}{\binom{e}{i}} \binom{x}{i}.$$

## Zeros of $\Phi_e$

When

$$\frac{(v - k)^2 k^2}{v^3}$$

is big, we can “use” the zeros of  $\Phi_e$  to approximate the zeros of the **Hermite polynomials**  $H_e \in \mathbb{Z}[x]$ .

$$H_0(x) = 1, H_1(x) = x \text{ and } H_{n+1}(x) = xH_n(x) - nH_{n-1}(x).$$



# Non-existence of tight designs

## Theorem 8 (E. Bannai)

*There are only **finitely many** tight  $2e$ -design for each  $e \geq 5$ .*

# Non-existence of tight designs

## Theorem 8 (E. Bannai)

*There are only **finitely many** tight  $2e$ -design for each  $e \geq 5$ .*

## Theorem 9 (P. Dukes and J. Short-Gershman)

*There **do not exist** non-trivial tight  $2e$ -designs for each  $5 \leq e \leq 10$ .*

# Non-existence of tight designs

## Theorem 8 (E. Bannai)

There are only *finitely many* tight  $2e$ -design for each  $e \geq 5$ .

## Theorem 9 (P. Dukes and J. Short-Gershman)

There *do not exist* non-trivial tight  $2e$ -designs for each  $5 \leq e \leq 10$ .

## Theorem 10 ((E. Bannai;) P. Dukes and J. Short-Gershman)

If there exists a non-trivial tight  $8$ - $(v, k, \lambda)$ -design, then  $(v, k)$  is a *zero of a polynomial*  $f_4(v, k)$ .

# The polynomial $f_4$

$$\begin{aligned} f_4(v, k) = & -3408102864 + 1506333312k^2 + 974873344k^4 - 488998144k^6 + 62323584k^8 - 3309568k^{10} + 65536k^{12} + 9310949028v - 1506333312kv - \\ & 4733985888k^2v - 1949746688k^3v - 1015706784k^4v + 1466994432k^5v + 511604992k^6v - 249294336k^7v - 49810560k^8v + 16547840k^9v + \\ & 1744896k^{10}v - 393216k^{11}v - 16384k^{12}v - 11097146016v^2 + 4733985888kv^2 + 6922441360k^2v^2 + 2031413568k^3v^2 - 1428764528k^4v^2 - \\ & 1534814976k^5v^2 + 209662720k^6v^2 + 199242240k^7v^2 - 21567744k^8v^2 - 8724480k^9v^2 + 786432k^{10}v^2 + 98304k^{11}v^2 + 7281931941v^3 - \\ & 5947568016kv^3 - 4944873072k^2v^3 + 412538336k^3v^3 + 1856597696k^4v^3 + 243542016k^5v^3 - 293538048k^6v^3 - 13016064k^7v^3 + \\ & 17194752k^8v^3 - 327680k^9v^3 - 253952k^{10}v^3 - 2755473732v^4 + 3929166288kv^4 + 1497511456k^2v^4 - 1155170432k^3v^4 - 582955856k^4v^4 + \\ & 183266304k^5v^4 + 58253568k^6v^4 - 16432128k^7v^4 - 1102464k^8v^4 + 368640k^9v^4 + 544096980v^5 - 1459281552kv^5 + 28759472k^2v^5 + \\ & 469164960k^3v^5 - 7038496k^4v^5 - 59703552k^5v^5 + 6536960k^6v^5 + 2050560k^7v^5 - 328320k^8v^5 - 18769932v^6 + 293023248kv^6 - \\ & 127930016k^2v^6 - 58917568k^3v^6 + 27050224k^4v^6 + 1258752k^5v^6 - 1642240k^6v^6 + 182784k^7v^6 - 14780538v^7 - 24513072kv^7 + \\ & 27560816k^2v^7 - 2875616k^3v^7 - 2296192k^4v^7 + 698880k^5v^7 - 61184k^6v^7 + 2961396v^8 - 764688kv^8 - 1582560k^2v^8 + 772608k^3v^8 - \\ & 143664k^4v^8 + 10752k^5v^8 - 191952v^9 + 203472kv^9 - 52816k^2v^9 + 7520k^3v^9 - 640k^4v^9 + 972v^{10} - 2352kv^{10} + 336k^2v^{10} + 45v^{11} \end{aligned}$$

# The polynomial $f_4$

$$\begin{aligned} f_4(v, k) = & -3408102864 + 1506333312k^2 + 974873344k^4 - 488998144k^6 + 62323584k^8 - 3309568k^{10} + 65536k^{12} + 9310949028v - 1506333312kv - \\ & 4733985888k^2v - 1949746688k^3v - 1015706784k^4v + 1466994432k^5v + 511604992k^6v - 249294336k^7v - 49810560k^8v + 16547840k^9v + \\ & 1744896k^{10}v - 393216k^{11}v - 16384k^{12}v - 11097146016v^2 + 4733985888kv^2 + 6922441360k^2v^2 + 2031413568k^3v^2 - 1428764528k^4v^2 - \\ & 1534814976k^5v^2 + 209662720k^6v^2 + 199242240k^7v^2 - 21567744k^8v^2 - 8724480k^9v^2 + 786432k^{10}v^2 + 98304k^{11}v^2 + 7281931941v^3 - \\ & 5947568016kv^3 - 4944873072k^2v^3 + 412538336k^3v^3 + 1856597696k^4v^3 + 243542016k^5v^3 - 293538048k^6v^3 - 13016064k^7v^3 + \\ & 17194752k^8v^3 - 327680k^9v^3 - 253952k^{10}v^3 - 2755473732v^4 + 3929166288kv^4 + 1497511456k^2v^4 - 1155170432k^3v^4 - 582955856k^4v^4 + \\ & 183266304k^5v^4 + 58253568k^6v^4 - 16432128k^7v^4 - 1102464k^8v^4 + 368640k^9v^4 + 544096980v^5 - 1459281552kv^5 + 28759472k^2v^5 + \\ & 469164960k^3v^5 - 7038496k^4v^5 - 59703552k^5v^5 + 6536960k^6v^5 + 2050560k^7v^5 - 328320k^8v^5 - 18769932v^6 + 293023248kv^6 - \\ & 127930016k^2v^6 - 58917568k^3v^6 + 27050224k^4v^6 + 1258752k^5v^6 - 1642240k^6v^6 + 182784k^7v^6 - 14780538v^7 - 24513072kv^7 + \\ & 27560816k^2v^7 - 2875616k^3v^7 - 2296192k^4v^7 + 698880k^5v^7 - 61184k^6v^7 + 2961396v^8 - 764688kv^8 - 1582560k^2v^8 + 772608k^3v^8 - \\ & 143664k^4v^8 + 10752k^5v^8 - 191952v^9 + 203472kv^9 - 52816k^2v^9 + 7520k^3v^9 - 640k^4v^9 + 972v^{10} - 2352kv^{10} + 336k^2v^{10} + 45v^{11} \end{aligned}$$

## Theorem 11 (E. Bannai)

There are only *finitely many* tight 8-designs.

## Tight 8-design

Theorem 12 (Z. Xiang)

*There **do not exist** non-trivial tight 8-designs.*

## Sketch of the proof

For zeros  $(v, k)$  of  $f_4$  with  $2k \leq v \leq k^2$ ,

$$v = \frac{2}{1 - \sqrt[4]{\frac{3}{8}}}k + \frac{23}{500} \left( 249 + 86\sqrt{6} + \sqrt{171312 + 70918\sqrt{6}} \right) + o(1).$$

## Sketch of the proof

For zeros  $(v, k)$  of  $f_4$  with  $2k \leq v \leq k^2$ ,

$$v = \frac{2}{1 - \sqrt[4]{\frac{3}{8}}} k + \frac{23}{500} \left( 249 + 86\sqrt{6} + \sqrt{171312 + 70918\sqrt{6}} \right) + o(1).$$

Find a  $g(v, k)$  in the ring of integer-valued functions in  $v$  and  $k$  having

$$\lim_{v, k \rightarrow \infty} g(v, k) = \frac{9}{100} \left( 6522 + 2808\sqrt{6} - \sqrt{56993328 + 24204417\sqrt{6}} \right).$$



## An open problem

Fix positive integers  $c$  and  $n$ . Is the number of pairs of integers  $(a, b)$  satisfying the following conditions finite?

- ▶  $b \geq a + 2$ .
- ▶  $\frac{a(a+1)}{b} \in \frac{1}{c} \mathbb{Z}$ .
- ▶  $\frac{a(a+1)(a+2)}{b(b+1)} \in \frac{1}{c} \mathbb{Z}$ .
- ▶  $\frac{a(a+1)(a+2)(a+3)}{b(b+1)(b+2)} \in \frac{1}{c} \mathbb{Z}$ .
- ▶ ...
- ▶  $\frac{a(a+1)\dots(a+n)}{b(b+1)\dots(b+n-1)} \in \frac{1}{c} \mathbb{Z}$ .